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PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No.03-465-B (400/138))

IN THE APPLICATION OF:

McSwiggen, et al.

Serial No. 10/720,448

Filed: November 24, 2003

Title RNA Interference Mediated Inhibition  
of Gene Expression Using Chemically  
Modified Short Interfering Nucleic  
Acid (siNA)

Examiner: To Be Assigned

Group Art Unit: 1635

Confirmation No.: 4875

Mail Stop PETITION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**TRANSMITTAL LETTER**

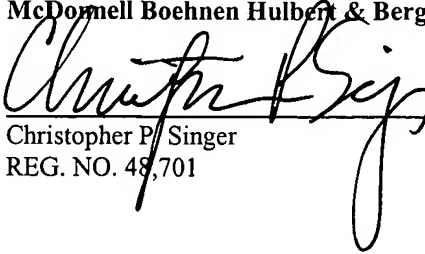
1. We are transmitting herewith the attached papers for the above-described patent application:
  - a. Amendment and Response to Notice to File Missing Parts (10 pg);
  - b. Petition for Revival of Unintentionally Abandoned Patent Application (2 pg);
  - c. Copy of Notice to File Missing Parts (2 pg);
  - d. Sequence Listing (3 CDs, one CRF copy; duplicate on CD-R in lieu of paper copy, w/transmittals)
  - e. Return Receipt Postcard;
  - f. Fees (check for \$1,500).
2. GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES: Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1, are being submitted to the US Postal Service with sufficient postage as Express Mail Post Office to Addressee, to Mail Stop PETITION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 28, 2006.

Express Mail No: EV596646225 US

Respectfully submitted,  
McDonnell Boehnen Hulbert & Berghoff LLP

Dated: April 28, 2006

By:

  
Christopher P. Singer  
REG. NO. 48,701



PATENT

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P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

1. The Compact Disc contained herein ("060427\_1504") submitted under 37 C.F.R. § 1.52(e):

- ☒ Is formatted for IBM-PC Machines
- ☒ Is compatible with MS-Windows Operating System
- ☒ Contains the file "03-465-B SeqListing.txt" which is 356,352 bytes in size on disk, and was created on April 27, 2006.

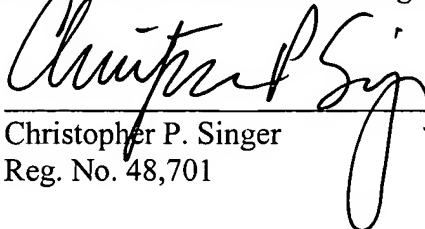
2. Statement under 37 C.F.R. § 1.52(e)(4): The undersigned certifies that the original CD-R submitted herein, titled "060427\_1504" (COPY 2) and the copy CD-R entitled "060427\_1504" (COPY 1) are identical in content as required by C.F.R. § 1.52(e)(4).

Respectfully submitted,

McDonnell Boennen Hulbert & Berghoff

Dated: April 28, 2006

By:

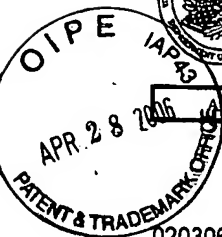
  
Christopher P. Singer  
Reg. No. 48,701

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32nd Floor  
Chicago, Illinois 60606  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/720,448	11/24/2003	James McSwiggen	03-465-B (400.138)

CONFIRMATION NO. 4875

## FORMALITIES LETTER



\*OC000000015229171\*

020306

MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP  
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32ND FLOOR  
CHICAGO, IL 60606

Date Mailed: 02/22/2005

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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For questions regarding compliance to these requirements, please contact:

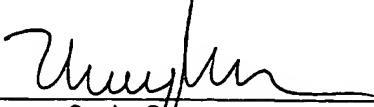
- For Rules Interpretation, call (571) 272-0951
- For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patent Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in dark ink, appearing to be "Thompson", written over a horizontal line.

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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY